



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

| APPLICATION NO.   | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.             | CONFIRMATION NO. |
|---|-------------|----------------------|---------------------------------|------------------|
| 10/808,184  | 03/24/2004  | Raghavan Rajagopalan | 1486.1-H US (073979.68)         | 4580             |
| 27805   | 7590        | 10/25/2010           |                                 |                  |
| THOMPSON HINE L.L.P.<br>Intellectual Property Group<br>P.O. BOX 8801<br>DAYTON, OH 45401-8801 |             |                      | EXAMINER<br>PACKARD, BENJAMIN J |                  |
|   |             |                      | ART UNIT                        | PAPER NUMBER     |
|   |             |                      | 1612                            |                  |
|   |             |                      | MAIL DATE                       | DELIVERY MODE    |
|   |             |                      | 10/25/2010 PAPER                |                  |

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

**Advisory Action  
Before the Filing of an Appeal Brief**

**Application No.**

10/808,184

**Applicant(s)**

RAJAGOPALAN ET AL.

**Examiner**

Benjamin Packard

**Art Unit**

1612

**--The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**

THE REPLY FILED 18 October 2010 FAILS TO PLACE THIS APPLICATION IN CONDITION FOR ALLOWANCE.

1. ☒ The reply was filed after a final rejection, but prior to or on the same day as filing a Notice of Appeal. To avoid abandonment of this application, applicant must timely file one of the following replies: (1) an amendment, affidavit, or other evidence, which places the application in condition for allowance; (2) a Notice of Appeal (with appeal fee) in compliance with 37 CFR 41.31; or (3) a Request for Continued Examination (RCE) in compliance with 37 CFR 1.114. The reply must be filed within one of the following time periods:

- a) ☐ The period for reply expires \_\_\_\_\_ months from the mailing date of the final rejection.  
b) ☒ The period for reply expires on: (1) the mailing date of this Advisory Action, or (2) the date set forth in the final rejection, whichever is later. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of the final rejection.  
Examiner Note: If box 1 is checked, check either box (a) or (b). ONLY CHECK BOX (b) WHEN THE FIRST REPLY WAS FILED WITHIN TWO MONTHS OF THE FINAL REJECTION. See MPEP 706.07(f).

Extensions of time may be obtained under 37 CFR 1.136(a). The date on which the petition under 37 CFR 1.136(a) and the appropriate extension fee have been filed is the date for purposes of determining the period of extension and the corresponding amount of the fee. The appropriate extension fee under 37 CFR 1.17(a) is calculated from: (1) the expiration date of the shortened statutory period for reply originally set in the final Office action; or (2) as set forth in (b) above, if checked. Any reply received by the Office later than three months after the mailing date of the final rejection, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**NOTICE OF APPEAL**

2. ☐ The Notice of Appeal was filed on \_\_\_\_\_. A brief in compliance with 37 CFR 41.37 must be filed within two months of the date of filing the Notice of Appeal (37 CFR 41.37(a)), or any extension thereof (37 CFR 41.37(e)), to avoid dismissal of the appeal. Since a Notice of Appeal has been filed, any reply must be filed within the time period set forth in 37 CFR 41.37(a).

**AMENDMENTS**

3. ☐ The proposed amendment(s) filed after a final rejection, but prior to the date of filing a brief, will not be entered because  
a) ☐ They raise new issues that would require further consideration and/or search (see NOTE below);  
b) ☐ They raise the issue of new matter (see NOTE below);  
c) ☐ They are not deemed to place the application in better form for appeal by materially reducing or simplifying the issues for appeal; and/or  
d) ☐ They present additional claims without canceling a corresponding number of finally rejected claims.

NOTE: \_\_\_\_\_. (See 37 CFR 1.116 and 41.33(a)).

4. ☐ The amendments are not in compliance with 37 CFR 1.121. See attached Notice of Non-Compliant Amendment (PTOL-324).  
5. ☐ Applicant's reply has overcome the following rejection(s): \_\_\_\_\_.  
6. ☐ Newly proposed or amended claim(s) \_\_\_\_\_ would be allowable if submitted in a separate, timely filed amendment canceling the non-allowable claim(s).  
7. ☒ For purposes of appeal, the proposed amendment(s): a) ☐ will not be entered, or b) ☒ will be entered and an explanation of how the new or amended claims would be rejected is provided below or appended.  
The status of the claim(s) is (or will be) as follows:  
Claim(s) allowed: \_\_\_\_\_.  
Claim(s) objected to: \_\_\_\_\_.  
Claim(s) rejected: 11, 12 and 21-27.  
Claim(s) withdrawn from consideration: 13-20.

**AFFIDAVIT OR OTHER EVIDENCE**

8. ☐ The affidavit or other evidence filed after a final action, but before or on the date of filing a Notice of Appeal will not be entered because applicant failed to provide a showing of good and sufficient reasons why the affidavit or other evidence is necessary and was not earlier presented. See 37 CFR 1.116(e).  
9. ☐ The affidavit or other evidence filed after the date of filing a Notice of Appeal, but prior to the date of filing a brief, will not be entered because the affidavit or other evidence failed to overcome all rejections under appeal and/or appellant fails to provide a showing a good and sufficient reasons why it is necessary and was not earlier presented. See 37 CFR 41.33(d)(1).  
10. ☐ The affidavit or other evidence is entered. An explanation of the status of the claims after entry is below or attached.

**REQUEST FOR RECONSIDERATION/OTHER**

11. ☒ The request for reconsideration has been considered but does NOT place the application in condition for allowance because:  
See Continuation Sheet.  
12. ☐ Note the attached Information Disclosure Statement(s). (PTO/SB/08) Paper No(s). \_\_\_\_\_.  
13. ☐ Other: \_\_\_\_\_.

/Frederick Krass/  
Supervisory Patent Examiner, Art Unit 1612

/Benjamin Packard/  
Examiner, Art Unit 1612

Continuation of 11, does NOT place the application in condition for allowance because: Claim Rejections - 35 USC § 103  
Claims 11-12 and 21-27 stand rejected under 35 U.S.C. 103(a) as being unpatentable over Cuttitta et al (US 5,460,801) in view of Pandurangi et al (J. Org. Chem. 1998, 63, 9019-9030).

Applicants assert the instantly claimed method is directed to and recites "a phototherapeutic procedure" which results in photoexcitation of the Ar and N3 components, which phototreat the target tissue. In contrast, Applicants note Cuttitta's method binds to bombesin receptors but does not provide motivation or suggestion for a phototherapeutic procedure. Applicants assert Pandurangi doesn't cure this where it teaches perfluoroaryl azides "capable of complexing transitional metal" and producing photolabile chelating agents in vitro which are used "for the development of highly efficient CH insertion molecular probes". Such use is different than Applicants type 1 phototherapy where the aryl nitrene portion of the compound actually treats the target tissues.

Examiner disagrees. First, Cuttitta was not cited for the phototherapy component, but for the teaching that receptor specific administration were known in the art, specifically compounds used to bond to bombesin receptors.

Second, the step of the photoactivated aryl nitrene group to cause "cell death", i.e. type 1 phototherapy, is not in the instant claims.

Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993). Here, the claims are directed instead to simply "treat the target tissue". Where "treatment" is not defined in the instant specification, it is broadly interpreted as including any phase of the treatment, from diagnostics to killing of the cells. Here, treatment is interpreted to include a compound made obvious which has a nitrene for photoactivation in order to provide CH insertion into the adjacent molecules, thereby acting as a photolabeling agent. Note, the aryl nitrenes of Pandurangi et al were tested in vitro for the ability to photolabel human serum albumin, which provides a reasonable expectation that it will work similarly with other biological components.